

Attorney Docket No. ARZ-022583-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: POLLOCK et al.

Serial No: 10/672,430

Group Art Unit: 1764

Filed: SEPTEMBER 26, 2003

Examiner:

Docket No.: ARZ-022583-US

For: FATTY ACID ESTERS AND USES THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b) (3)

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of documents which the Examiner may deem relevant to patentability of the claims of the above-identified application. This Information Disclosure Statement is filed before the mailing of a first office action on the merits. Therefore, the Information Disclosure Statement should be considered and made of record by the U.S. Patent and Trademark Office pursuant to 37 CFR §1.97 (b) (3). Enclosed please find a completed Information Disclosure Statement, Form PTO/SB/08A, and a copy of each reference cited thereon.

ARZ-022583-US

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Applicants respectfully request that an initialed copy of Form, PTO/SB/08A be returned in accordance with MPEP §609. If there are any questions or if additional information is required regarding this submission, the Examiner is respectfully requested to contact applicant's attorney at the telephone number listed below.

Respectfully submitted,
Charley M. Pollock, Applicant
Lloyd A. Nelson, Applicant

Date: April 20, 2005

By: 

Thomas W. Barnes, III

Reg. No. 52,595

Patent Agent

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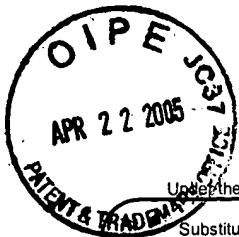
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/672,430
Filing Date	SEPTEMBER 26, 2003
First Named Inventor	POLLOCK et al.
Art Unit	1764
Examiner Name	
Attorney Docket Number	ARZ-022583-US

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US- 1,815,022	07-14-1931		
	B	US- 2,015,748	10-01-1935		
	C	US- 2,191,498	02-27-1940		
	D	US- 2,387,501	10-23-1945		
	E	US- 2,655,479	10-13-1953		
	F	US- 2,666,746	01-19-1954		
	G	US- 2,721,877	10-25-1955		
	H	US- 2,721,878	10-25-1955		
	I	US- 2,875,221	02-24-1959		
	J	US- 3,250,715	05-10-1966		
	K	US- 3,595,888	07-27-1971		
	L	US- 4,683,069	07-28-1987		
	M	US- 4,713,188	12-15-1987		
	N	US- 4,957,651	09-18-1990		
	O	US- 5,114,603	05-19-1992		
	P	US- 5,866,520	02-02-1999		
	Q	US- 5,885,942	03-23-1999		
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	F1	JP6-128193				
	F2	JP5-25108	02-02-1993			
	F3	WO-89/06683	07-27-1989			
	F4	WO-01/72933	10-04-2001			

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
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		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	ARZ-022583-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A1	"Fuel efficient lubricant formulations for passenger cars or heavy duty trucks" Benard, Francois, Espinoux, Federic; Bourgognon, Henri; Lamy, Bernard; SAE Special Publication	
		SP-1550 (Topics in Lubricants), 337-348. CODEN: SAESA2; ISSN: 0099-5908	

Examiner Signature		Date Considered	
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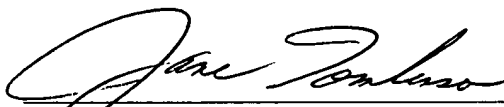
CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the documents listed below for filing in the above referenced patent application are being deposited with the United States Postal Service in an envelope with sufficient postage for first class mailing addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on April 20, 2005:

1. Information Disclosure Statement under 37 C.F.R. – 1.97(b)(3), 2 sheets;
2. Information Disclosure Statement by Applicant, Form PTO/SB/08A, 2 sheet(s); and one copy of each of the references cited thereon;
3. Post Card


Jane Tomlinson